

Form **990-PF**

**Return of Private Foundation**

OMB No. 1545-0052

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

**2015**

Do not enter social security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

**Open to Public Inspection**

For calendar year **2015** or tax year beginning **12/01, 2015**, and ending **11/30, 2016**

Name of foundation <b>ANDERSEN CORPORATE FOUNDATION</b>		<b>A Employer identification number</b> 41-6020912
Number and street (or P.O. box number if mail is not delivered to street address) <b>342 FIFTH AVENUE NORTH</b>	Room/suite 200	<b>B Telephone number (see instructions)</b> (651) 439-1557
City or town, state or province, country, and ZIP or foreign postal code <b>BAYPORT, MN 55003</b>		<b>C</b> If exemption application is pending, check here. <input type="checkbox"/>
<b>G</b> Check all that apply:		<b>D</b> 1. Foreign organizations, check here. <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation. <input type="checkbox"/>
<input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here. <input type="checkbox"/>
<b>H</b> Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. <input type="checkbox"/>
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 45,745,730.</b>	<b>J</b> Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Part I Analysis of Revenue and Expenses</b> <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)</i>				
<b>Revenue</b>				
<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	500,000.			
<b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B.				
<b>3</b> Interest on savings and temporary cash investments	919.	919.		
<b>4</b> Dividends and interest from securities	462,884.	462,884.		
<b>5a</b> Gross rents				
<b>b</b> Net rental income or (loss)				
<b>6a</b> Net gain or (loss) from sale of assets not on line 10	1,463,211.			
<b>b</b> Gross sales price for all assets on line 6a <b>6,584,747.</b>				
<b>7</b> Capital gain net income (from Part IV, line 2)		1,463,211.		
<b>8</b> Net short-term capital gain				
<b>9</b> Income modifications				
<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold				
<b>c</b> Gross profit or (loss) (attach schedule)				
<b>11</b> Other income (attach schedule) <b>ATCH 1</b>	649,327.	649,327.		
<b>12 Total.</b> Add lines 1 through 11	3,076,341.	2,576,341.		
<b>Operating and Administrative Expenses</b>				
<b>13</b> Compensation of officers, directors, trustees, etc.	0.			
<b>14</b> Other employee salaries and wages				
<b>15</b> Pension plans, employee benefits				
<b>16a</b> Legal fees (attach schedule)				
<b>b</b> Accounting fees (attach schedule) <b>ATCH 2</b>	34,467.	17,234.		17,233.
<b>c</b> Other professional fees (attach schedule) <b>[3]</b>	344,291.	166,174.		178,117.
<b>17</b> Interest				
<b>18</b> Taxes (attach schedule) (see instructions) <b>[4]</b>	46,147.	43,547.		
<b>19</b> Depreciation (attach schedule) and depletion				
<b>20</b> Occupancy				
<b>21</b> Travel, conferences, and meetings	504.			504.
<b>22</b> Printing and publications				
<b>23</b> Other expenses (attach schedule) <b>ATCH 5</b>	5,772.			5,772.
<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23.	431,181.	226,955.		201,626.
<b>25</b> Contributions, gifts, grants paid	2,101,300.			2,101,300.
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	2,532,481.	226,955.	0.	2,302,926.
<b>27</b> Subtract line 26 from line 12:				
<b>a</b> Excess of revenue over expenses and disbursements	543,860.			
<b>b</b> Net investment income (if negative, enter -0-)		2,349,386.		
<b>c</b> Adjusted net income (if negative, enter -0-)				

<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1	Cash - non-interest-bearing . . . . .		40,099.	66,203.	66,203.
	2	Savings and temporary cash investments . . . . .		411,782.	345,905.	345,905.
	3	Accounts receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	4	Pledges receivable ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	5	Grants receivable . . . . .				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . .				
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less: allowance for doubtful accounts ▶ _____				
	8	Inventories for sale or use . . . . .				
	9	Prepaid expenses and deferred charges . . . . .				
	10a	Investments - U.S. and state government obligations (attach schedule). . .				
	b	Investments - corporate stock (attach schedule) . . . . .				
	c	Investments - corporate bonds (attach schedule) . . . . .				
	11	Investments - land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
	12	Investments - mortgage loans . . . . .				
	13	Investments - other (attach schedule) . . . . . <b>ATCH 6</b>		45,571,750.	45,333,622.	45,333,622.
	14	Land, buildings, and equipment: basis ▶ _____ Less: accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____ )					
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .		46,023,631.	45,745,730.	45,745,730.	
<b>Liabilities</b>	17	Accounts payable and accrued expenses . . . . .				
	18	Grants payable . . . . .				
	19	Deferred revenue . . . . .				
	20	Loans from officers, directors, trustees, and other disqualified persons . .				
	21	Mortgages and other notes payable (attach schedule) . . . . .				
	22	Other liabilities (describe ▶ _____ )				
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0.	0.		
<b>Net Assets or Fund Balances</b>	<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>					
	24	Unrestricted . . . . .		46,023,631.	45,745,730.	
	25	Temporarily restricted . . . . .				
	26	Permanently restricted . . . . .				
	<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>					
	27	Capital stock, trust principal, or current funds . . . . .				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund . . . . .				
29	Retained earnings, accumulated income, endowment, or other funds . .					
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .		46,023,631.	45,745,730.		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .		46,023,631.	45,745,730.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	<b>1</b>	46,023,631.
2	Enter amount from Part I, line 27a . . . . .	<b>2</b>	543,860.
3	Other increases not included in line 2 (itemize) ▶ _____	<b>3</b>	
4	Add lines 1, 2, and 3 . . . . .	<b>4</b>	46,567,491.
5	Decreases not included in line 2 (itemize) ▶ <b>ATCH 7</b>	<b>5</b>	821,761.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 . . . .	<b>6</b>	45,745,730.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b> SEE PART IV SCHEDULE					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) <span style="font-size: 2em;">}</span> If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7			<b>2</b>	1,463,211.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			<b>3</b>	0.	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2014	2,260,955.	47,418,040.	0.047681
2013	2,029,417.	48,596,961.	0.041760
2012	2,357,207.	45,446,252.	0.051868
2011	2,085,785.	42,161,491.	0.049471
2010	2,016,749.	43,166,153.	0.046721
<b>2</b> Total of line 1, column (d)			<b>2</b> 0.237501
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			<b>3</b> 0.047500
<b>4</b> Enter the net value of noncharitable-use assets for 2015 from Part X, line 5			<b>4</b> 45,066,226.
<b>5</b> Multiply line 4 by line 3			<b>5</b> 2,140,646.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)			<b>6</b> 23,494.
<b>7</b> Add lines 5 and 6			<b>7</b> 2,164,140.
<b>8</b> Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 2,302,926.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. . . . Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b. . . . .	<b>1</b>	23,494.
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . .	<b>2</b>	
<b>3</b> Add lines 1 and 2. . . . .	<b>3</b>	23,494.
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . .	<b>4</b>	0.
<b>5 Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	23,494.
<b>6 Credits/Payments:</b>		
<b>a</b> 2015 estimated tax payments and 2014 overpayment credited to 2015. . . . .	<b>6a</b>	33,742.
<b>b</b> Exempt foreign organizations - tax withheld at source . . . . .	<b>6b</b>	
<b>c</b> Tax paid with application for extension of time to file (Form 8868), . . . . .	<b>6c</b>	
<b>d</b> Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b> Total credits and payments. Add lines 6a through 6d . . . . .	<b>7</b>	33,742.
<b>8</b> Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .	<b>8</b>	
<b>9 Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10 Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	10,248.
<b>11</b> Enter the amount of line 10 to be: <b>Credited to 2016 estimated tax</b> <input checked="" type="checkbox"/> 10,248. <b>Refunded</b> <input type="checkbox"/>	<b>11</b>	

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	N/A	
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> MINNESOTA		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i> . . . . .	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2015 or the taxable year beginning in 2015 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i> . . . . .		X
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> . . . . .		X

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11	Yes	No X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ <u>WWW.ANDERSENCORPORATION.COM/CORPORATE-RESPONSIBILITY/COMMUNITY-INVOLVEMENT/ANDERSEN-CORPORATE-FOUNDATION/</u>				
14	The books are in care of ▶ <u>SUSAN ROEDER</u> Telephone no. ▶ <u>(651) 264-7432</u> Located at ▶ <u>100 FOURTH AVENUE NORTH BAYPORT, MN</u> ZIP+4 ▶ <u>55003</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ <u>15</u>			
16	At any time during calendar year 2015, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ▶	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here . . . . . ▶ <input type="checkbox"/>	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2015? . . . . .	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2015, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2015? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ <u>N/A</u> , _____ , _____ , _____		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement - see instructions.) . . . . .	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ▶ <u>N/A</u> , _____ , _____ , _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2015 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2015.</i> ) . . . . .	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2015?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions).  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here  N/A  **5b** N/A

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d).  N/A

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870. **6b** X

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No  
**7b** N/A

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 8		0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total number of other employees paid over \$50,000.**  Yes  No **0.**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 9		244,260.

**Total number of others receiving over \$50,000 for professional services** . . . . . **0.**

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
<b>Total.</b> Add lines 1 through 3 . . . . .	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	45,008,288.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	744,226.
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	45,752,514.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	45,752,514.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	686,288.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	45,066,226.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	2,253,311.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	2,253,311.
<b>2a</b>	Tax on investment income for 2015 from Part VI, line 5 . . . . .	<b>2a</b>	23,494.
<b>b</b>	Income tax for 2015. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	23,494.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	2,229,817.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	2,229,817.
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	2,229,817.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 . . . . .	<b>1a</b>	2,302,926.
<b>b</b>	Program-related investments - total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	2,302,926.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	23,494.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	2,279,432.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2014	(c) 2014	(d) 2015
<b>1</b> Distributable amount for 2015 from Part XI, line 7 . . . . .				2,229,817.
<b>2</b> Undistributed income, if any, as of the end of 2015:				
<b>a</b> Enter amount for 2014 only. . . . .			2,210,770.	
<b>b</b> Total for prior years: 20 <u>13</u> , 20 <u>12</u> , 20 <u>11</u> . . . . .				
<b>3</b> Excess distributions carryover, if any, to 2015:				
<b>a</b> From 2010 . . . . .				
<b>b</b> From 2011 . . . . .				
<b>c</b> From 2012 . . . . .				
<b>d</b> From 2013 . . . . .				
<b>e</b> From 2014 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .	0.			
<b>4</b> Qualifying distributions for 2015 from Part XII, line 4: ▶ \$ <u>2,302,926.</u>				
<b>a</b> Applied to 2014, but not more than line 2a . . . . .			2,210,770.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions). . . . .				
<b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .				
<b>d</b> Applied to 2015 distributable amount. . . . .				92,156.
<b>e</b> Remaining amount distributed out of corpus. . . . .				
<b>5</b> Excess distributions carryover applied to 2015 . (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b. . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .				
<b>e</b> Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .				
<b>f</b> Undistributed income for 2015. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2016. . . . .				2,137,661.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2010 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2016. Subtract lines 7 and 8 from line 6a . . . . .	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2011 . . . . .				
<b>b</b> Excess from 2012 . . . . .				
<b>c</b> Excess from 2013 . . . . .				
<b>d</b> Excess from 2014 . . . . .				
<b>e</b> Excess from 2015 . . . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2015, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2015, (b) 2014, (c) 2013, (d) 2012, (e) Total. Rows include 2a (Adjusted net income), 2b (85% of line 2a), 2c (Qualifying distributions from Part XII), 2d (Amounts included in line 2c not used directly for active conduct of exempt activities), 2e (Qualifying distributions made directly for active conduct of exempt activities), 3 (Alternative tests: Assets, Endowment, Support).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here [ ] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ATCH 10

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHMENT 14

c Any submission deadlines:

2/15, 6/15 AND 10/15

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHMENT 14

**Part XV** Supplementary Information *(continued)*

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b> SEE ATTACHMENT 12				2,101,300.
<b>Total</b> .....				<b>3a</b> 2,101,300.
<b>b Approved for future payment</b> SEE ATTACHMENT 13				545,000.
<b>Total</b> .....				<b>3b</b> 545,000.

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue:					
<b>a</b> _____					
<b>b</b> _____					
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>f</b> _____					
<b>g</b> Fees and contracts from government agencies					
<b>2</b> Membership dues and assessments . . . . .					
<b>3</b> Interest on savings and temporary cash investments . .			14	919.	
<b>4</b> Dividends and interest from securities . . . . .			14	462,884.	
<b>5</b> Net rental income or (loss) from real estate:					
<b>a</b> Debt-financed property . . . . .					
<b>b</b> Not debt-financed property . . . . .					
<b>6</b> Net rental income or (loss) from personal property . .					
<b>7</b> Other investment income . . . . .					
<b>8</b> Gain or (loss) from sales of assets other than inventory			18	1,463,211.	
<b>9</b> Net income or (loss) from special events . . . . .					
<b>10</b> Gross profit or (loss) from sales of inventory . . . .					
<b>11</b> Other revenue: <b>a</b> _____					
<b>b</b> ATCH 11				649,327.	
<b>c</b> _____					
<b>d</b> _____					
<b>e</b> _____					
<b>12</b> Subtotal. Add columns (b), (d), and (e) . . . . .				2,576,341.	
<b>13</b> <b>Total.</b> Add line 12, columns (b), (d), and (e) . . . . .					2,576,341.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
▼	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of: (1) Cash, (2) Other assets... b Other transactions: (1) Sales of assets to a noncharitable exempt organization, (2) Purchases of assets from a noncharitable exempt organization, (3) Rental of facilities, equipment, or other assets, (4) Reimbursement arrangements, (5) Loans or loan guarantees, (6) Performance of services or membership or fundraising solicitations... c Sharing of facilities, equipment, mailing lists, other assets, or paid employees... d If the answer to any of the above is "Yes," complete the following schedule.

Table with 4 columns: (a) Line no., (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A' for amount and description.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship. All cells are currently empty.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here Signature of officer or trustee Date Title May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Paid Preparer Use Only Print/Type preparer's name: KELLI H ARCHIBALD, Preparer's signature: Kelli H Archibald, Date: 04/13/17, Firm's name: ERNST & YOUNG U.S. LLP, Firm's EIN: 34-6565596, Firm's address: 2 NORTH CENTRAL AVE, SUITE 2300 PHOENIX, AZ 85004, Phone no.: 602-322-3000

**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
6,584,747.		SEE STATEMENT A - PROPERTY TYPE: SECURITIES 5,121,536.					VAR  1,463,211.	VAR
TOTAL GAIN (LOSS) .....							<u>1,463,211.</u>	

Andersen Corporate Foundation  
FEIN: 41-6020912  
FYE: November 30, 2016

**FORM 990PF - PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

	<u>Proceeds</u>	<u>Cost</u>	<u>Gain/(Loss)</u>
Cash and Equivalents	3,740,141	3,740,141	0
Equity Securities:			
Intl Alpha LP	25,036	34,454	(9,418)
S&P 500 Index Fund	1,504,569	887,374	617,195
Flow-through of net capital gains/losses from investment in			
International Alpha Common Trust Fund	0	133,078	(133,078)
S&P 500 Index Fund	678,487	0	678,487
Global Asset Allocation:			
GMO Strategic Opportunities Alloc	108,135	94,801	13,334
GMO Multi-Strategy Fund	171,881	171,881	0
Wellington CTF Opportunistic Portfolio	54,143	59,807	(5,664)
Flow-through of net capital gains/losses from investment in			
Wellington CTF Opportunistic Portfolio	294,916	0	294,916
Debt Securities			
Black Rock	5,929	0	5,929
Security Litigation Settlement Proceeds	1,510	0	1,510
<b>Total Sales And Maturities</b>	<b>6,584,747</b>	<b>5,121,536</b>	<b>1,463,211</b>

# Schedule of Contributors

**2015**

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

<b>Name of the organization</b> ANDERSEN CORPORATE FOUNDATION	<b>Employer identification number</b> 41-6020912
--	---

**Organization type** (check one):

**Filers of:**

**Section:**

- Form 990 or 990-EZ  501(c)( ) (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization
- Form 990-PF  501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).



<b>Name of organization</b> ANDERSEN CORPORATE FOUNDATION	<b>Employer identification number</b> 41-6020912
---	---

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ANDERSEN CORPORATION  100 FOURTH AVENUE NORTH  BAYPORT, MN 55003	\$ 500,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization **ANDERSEN CORPORATE FOUNDATION**

Employer identification number

41-6020912

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____	\$ _____	_____

Name of organization **ANDERSEN CORPORATE FOUNDATION**

Employer identification number  
41-6020912

**Part III** *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ► \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
_____	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	

FORM 990PF, PART I - OTHER INCOME

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FLOW-THROUGH OF OTHER INCOME FROM: WELLINGTON	234,100.	234,100.
INVESTMENT IN SSGA S&P 500	246,399.	246,399.
INT'L ALPHA COMM TRUST FUND, LLC	168,828.	168,828.
TOTALS	<u>649,327.</u>	<u>649,327.</u>

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
AUDIT AND TAX SERVICE - ERNST & YOUNG LLP	22,467.	11,234.		11,233.
ACCOUNTING SERVICE - SRI	12,000.	6,000.		6,000.
TOTALS	<u>34,467.</u>	<u>17,234.</u>		<u>17,233.</u>

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT FEES	166,174.	166,174.	
GRANT CONSULTING - SRI	178,117.		178,117.
TOTALS	<u>344,291.</u>	<u>166,174.</u>	<u>178,117.</u>

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
FOREIGN TAXES	43,547.	43,547.
EXCISE TAXES	2,600.	
TOTALS	<u>46,147.</u>	<u>43,547.</u>

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
DIRECTOR LIABILITY INSURANCE	3,501.	3,501.
DUES AND MEMBERSHIPS	2,000.	2,000.
POSTAGE	246.	246.
FILING FEE	25.	25.
TOTALS	<u>5,772.</u>	<u>5,772.</u>



ANDERSEN CORPORATE FOUNDATION  
 FEIN: 41-6020912  
 FYE: November 30, 2015

**FORM 990PF, PART II****LINE 13 - OTHER INVESTMENTS**

<b>DESCRIPTION</b>	<b>ENDING BOOK VALUE</b>	<b>ENDING FMV</b>
Nortel Networks Corp	0.34	0.34
<b>Total Domestic Common Stocks</b>	<b>0.34</b>	<b>0.34</b>
Black Rock Total Return II	3,781,379	3,781,379
<b>Total Mutual Funds - Fixed Income</b>	<b>3,781,379</b>	<b>3,781,379</b>
S&P 500 Index Fund	11,274,347	11,274,347
<b>Total Mutual Funds - Equity</b>	<b>11,274,347</b>	<b>11,274,347</b>
Intl Alpha Ctf (Zvia) LP	4,550,217	4,550,217
<b>Total Partnerships - Joint Ventures</b>	<b>4,550,217</b>	<b>4,550,217</b>
Wellington Trust Multiple Collective	6,316,058	6,316,058
GMO Strategic Opportunities Alloc 3	5,074,220	5,074,220
GMO Multi-Strategy Fund	1,978,796	1,978,796
<b>Total Collective Funds</b>	<b>13,369,075</b>	<b>13,369,075</b>
Permal Fixed Income Holdings (Erisa) Ltd	7,492,087	7,492,087
Pimco All Asset Fund Instl	4,866,518	4,866,518
<b>Total Alternative Strategies</b>	<b>12,358,605</b>	<b>12,358,605</b>
<b>Total</b>	<b>45,333,622</b>	<b>45,333,622</b>

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

<u>DESCRIPTION</u>	<u>AMOUNT</u>
UNREALIZED LOSS	821,761.
TOTAL	<u>821,761.</u>

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
KEITH OLSON 100 FOURTH AVENUE N. BAYPORT, MN 55003	PRESIDENT 1.00	0.	0.	0.
SUSAN ROEDER 100 FOURTH AVENUE N. BAYPORT, MN 55003	VP GRANTS ADMIN./SECRETARY 1.00	0.	0.	0.
PHIL DONALDSON 100 FOURTH AVENUE N. BAYPORT, MN 55003	TREASURER 1.00	0.	0.	0.
JAY LUND 100 FOURTH AVENUE N. BAYPORT, MN 55003	DIRECTOR 1.00	0.	0.	0.
JERRY REDMOND 100 FOURTH AVENUE N. BAYPORT, MN 55003	DIRECTOR 1.00	0.	0.	0.
KAREN RICHARD 100 FOURTH AVENUE N. BAYPORT, MN 55003	DIRECTOR 1.00	0.	0.	0.
	GRAND TOTALS	<u>0.</u>	<u>0.</u>	<u>0.</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 9

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
SRI 342 FIFTH AVENUE NORTH, SUITE 200 BAYPORT, MN 55003	GRANT CONSULT/ACCTG.	190,117.
WELLINGTON TRUST COMPANY 280 CONGRESS STREET BOSTON, MA 02110	INVESTMENT MGMT	54,143.
	TOTAL COMPENSATION	<u>244,260.</u>

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

CHLOETTE HALEY, PROGRAM OFFICER  
342 FIFTH AVENUE NORTH, SUITE 200  
BAYPORT, MN 55003  
651-275-4450

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 11

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
INCOME FROM WELLINGTON			14	234,100.	
INCOME FROM SSGA			14	246,399.	
INT'L ALPHA COMM TRUST FUND			14	168,828.	
TOTALS				<u>649,327.</u>	

# Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2015 - November 30, 2016

FYE 2015-16

## Form 990, Part XV, Line 3a - Grants and Contributions Paid During the Year

Recipient and/or Purpose	Tax Status	Amount Paid FYE 2015-16
<b>ACF Affordable Housing</b>		
<b>Cerenity Senior Care</b> 200 Earl Street St, Paul, MN 55106 <i>Generations of Generosity Campaign</i>	501(c)(3) PC	\$50,000
<b>Greater Des Moines Habitat for Humanity</b> 2200 E. Euclid Ave. Des Moines, IA 50317 <i>HFH It Starts at Home Campaign</i>	501(c)(3) PC	\$20,000
<b>Habitat for Humanity International</b> 270 Peachtree Street, Suite 1300 Atlanta, GA 30303 <i>Neighborhood Revitalization Initiative</i>	501(c)(3) PC	\$33,000
<b>Minnesota Home Ownership Center</b> 1000 Payne Avenue, Suite 200 St. Paul, MN 55130 <i>general operating support</i>	501(c)(3) PC	\$5,000
<b>Solid Ground</b> 3521 Century Avenue North White Bear Lake, MN 55110-5656 <i>general operating support</i>	501(c)(3) PC	\$10,000
<b>Twin Cities Habitat for Humanity Inc.</b> 1954 University Avenue St. Paul, MN 55104 <i>Twin Cities Habitat's Generation Acres</i>	501(c)(3) PC Group Exemption #8545	\$100,000
<b>Two Rivers Community Land Trust</b> P.O. Box 25451 Woodbury, MN 55125 <i>general operating support and housing development funds</i>	501(c)(3) PC	\$20,000
<b>United Covenant Foundation Inc.</b> 348 5th St. N.W. Clear Lake, WI 54005 <i>Ridgeview Senior Apartments Phase III project</i>	501(c)(3) PC	\$20,000
<b>West Central Wisconsin Community Action Agency, Inc.</b> 525 Second Street PO Box 308 Glenwood City, WI 54013 <i>Housing Assistance Program for Polk, St. Croix, Pierce and Dunn Counties</i>	501(c)(3) PC	\$12,000
<b>Total ACF Affordable Housing (9 items)</b>		<b>\$270,000</b>

<b>Recipient and/or Purpose</b>	<b>Tax Status</b>	<b>Amount Paid FYE 2015-16</b>
<b>ACF\Civic</b>		
<b>Animal Humane Society</b> 845 Meadow Lane North Golden Valley, MN 55422 <i>general operating support</i>	501(c)(3) PC	\$5,000
<b>Bayport Public Library Foundation</b> 582 North Fourth Street Bayport, MN 55003 <i>general operating support for Bayport Public Library</i>	501(c)(3) PC	\$34,000
<b>Duck Soup Players, Inc.</b> P.O. Box 219 Marine On St. Croix, MN 55047 <i>general operating support</i>	501(c)(3) PC	\$3,500
<b>Minnesota Children's Museum</b> 10 West Seventh Street St. Paul, MN 55102 <i>21st Century Innovation Focused Education</i>	501(c)(3) PC	\$20,000
<b>Minnesota Historical Society</b> 345 Kellogg Boulevard West St. Paul, MN 55102-1906 <i>general operating support</i>	501(c)(3) PC	\$10,000
<b>Ordway Center for the Performing Arts</b> 345 Washington Street Saint Paul, MN 55102-1495 <i>The Arts Partnership Capital Campaign</i>	501(c)(3) PC	\$50,000
<b>Ordway Center for the Performing Arts</b> 345 Washington Street Saint Paul, MN 55102-1495 <i>general operating support</i>	501(c)(3) PC	\$10,000
<b>The Phipps Center for the Arts</b> 109 Locust Street Hudson, WI 54016 <i>general operating support</i>	501(c)(3) PC	\$12,000
<b>The St. Croix Valley Chamber Chorale, Inc.</b> P.O. Box 352 Stillwater, MN 55082 <i>general operating support</i>	501(c)(3) PC	\$3,500
<b>The Saint Paul Chamber Orchestra</b> Third Floor The Hamm Building 408 St. Peter Street St. Paul, MN 55102-1497 <i>general operating support</i>	501(c)(3) PC	\$20,000
<b>Washington County Historical Society</b> P.O. Box 167 Stillwater, MN 55082-0167 <i>general operating support</i>	501(c)(3) PC	\$6,000
<b>Total ACF\Civic (11 items)</b>		<b>\$174,000</b>



<b>Recipient and/or Purpose</b>	<b>Tax Status</b>	<b>Amount Paid FYE 2015-16</b>
<b>ACF Education &amp; Youth Development</b>		
<b>Boy Scouts of America, Northern Star Council</b> 393 Marshall Avenue St. Paul, MN 55102-1717 <i>Leadership Center Capital Campaign</i>	501(c)(3) PC Group Exemption #1761	\$50,000
<b>Boy Scouts of America, Northern Star Council</b> 393 Marshall Avenue St. Paul, MN 55102-1717 <i>general operating support</i>	501(c)(3) PC Group Exemption #1761	\$50,000
<b>Boys &amp; Girls Clubs of Greater Chippewa Valley, Inc.</b> 615 24th Ave. W. Menomonie, WI 54751 <i>general operating support</i>	501(c)(3) PC	\$3,500
<b>Carpenter St. Croix Valley Nature Center</b> 12805 St. Croix Trail Hastings, MN 55033 <i>Unsolicited gift of appreciation</i>	501(c)(3) POF	\$10,000
<b>Carpenter St. Croix Valley Nature Center</b> 12805 St. Croix Trail Hastings, MN 55033 <i>general operating support</i>	501(c)(3) POF	\$15,000
<b>Clarke University of Dubuque, Iowa</b> 1550 Clarke Drive Dubuque, IA 52001 <i>Clarke Academy Summer STEAM Program</i>	501(c)(3) PC	\$6,500
<b>Como Zoo &amp; Conservatory Society d/b/a Como Friends</b> 1225 Estabrook Drive St. Paul, MN 55103 <i>STEM Programming</i>	501(c)(3) PC	\$10,000
<b>Conservation Corps Minnesota</b> 60 Plato Blvd. E, Suite 210 Saint Paul, MN 55107 <i>Summer Youth Corps - SCV</i>	501(c)(3) PC	\$6,000
<b>Girl Scouts of Eastern Iowa and Western Illinois, Inc.</b> 2644 Pennsylvania Avenue Dubuque, IA 52001 <i>general operating support</i>	501(c)(3) PC	\$3,000
<b>Girl Scouts of Minnesota and Wisconsin River Valleys</b> 400 South Robert Street St. Paul, MN 55107 <i>\$25,000 general operating support and \$25,000 STEM</i>	501(c)(3) PC	\$50,000
<b>Great River Greening</b> 35 West Water Street, Suite 201 St. Paul, MN 55107 <i>Environmental Restoration Projects/SCV</i>	501(c)(3) PC	\$8,000
<b>Hamline University</b> Center for Global Environmental Education MS-A1760 1536 Hewitt Avenue St. Paul, MN 55104 <i>2017 St. Croix Valley Rivers Institute</i>	501(c)(3) PC	\$10,000

<b>Recipient and/or Purpose</b>	<b>Tax Status</b>	<b>Amount Paid FYE 2015-16</b>
<b>Hudson School District #2611</b> 644 Brakke Drive Hudson, WI 54016 <i>Project Lead the Way - 3D Printer</i>	GOV	\$20,000
<b>Iowa Jobs for America's Graduates</b> 400 E. 14th Street, 3rd Floor Des Moines, IA 50319 <i>iJAG Dubuque</i>	501(c)(3) PC	\$3,000
<b>Iowa Jobs for America's Graduates</b> 400 E. 14th Street, 3rd Floor Des Moines, IA 50319 <i>iJAG Des Moines</i>	501(c)(3) PC	\$5,000
<b>Iowa State University Foundation</b> 2505 University Boulevard Ames, IA 50010-2230 <i>Science Bound</i>	501(c)(3) PC	\$10,000
<b>Junior Achievement of the Heartland, Inc.</b> 800 12th Avenue Moline, IL 61265 <i>JA Core-Mission Programs</i>	501(c)(3) PC Group Exemption #1116	\$7,000
<b>Junior Achievement of Wisconsin, Inc. - Northwest District</b> 505 So. Dewey St., Suite 10 Eau Claire, WI 54701 <i>general operating support</i>	501(c)(3) PC Group Exemption #1116	\$2,000
<b>Junior Achievement of the Upper Midwest, Inc.</b> 1800 White Bear Avenue North Maplewood, MN 55109 <i>JA Elementary School Partnership Program</i>	501(c)(3) PC Group Exemption #1116	\$15,000
<b>Kinship of Polk County, Inc.</b> PO Box 68 Balsam Lake, WI 54810 <i>general operating support</i>	501(c)(3) PC	\$5,000
<b>Lakes Area Youth Service Bureau, Inc.</b> 244 North Lake Street Forest Lake, MN 55025 <i>general operating support</i>	501(c)(3) PC	\$4,500
<b>Mahtomedi Area Educational Foundation</b> 1520 Mahtomedi Avenue Mahtomedi, MN 55115 <i>Engineering Leadership Program</i>	501(c)(3) PC	\$12,500
<b>Mill Pond Learning Foundation</b> 213 Cascade St. PO Box 791 Osceola, WI 54020 <i>Discovery Center</i>	501(c)(3) PC	\$50,000
<b>New Richmond Area Centre</b> 428 South Starr Avenue New Richmond, WI 54017 <i>YOUth and Families Initiative</i>	501(c)(3) PC	\$6,000

<b>Recipient and/or Purpose</b>	<b>Tax Status</b>	<b>Amount Paid FYE 2015-16</b>
<b>North Branch School District 138</b> 38705 Grand Ave. North Branch, MN 55056 <i>Project Lead the Way at North Branch Area High School</i>	GOV	\$10,000
<b>The Partnership Plan for Stillwater Area Schools</b> 1875 South Greeley Street Stillwater, MN 55082 <i>Power House Project</i>	501(c)(3) PC	\$20,000
<b>Rushmore Academy</b> 2222 Marion Mt. Gilead Road Marion, OH 43302 <i>Rushmore Job Program</i>	GOV	\$5,000
<b>St. Croix ArtBarn</b> P.O. Box 37 1040 Oak Ridge Drive Osceola, WI 54020 <i>general operating support</i>	501(c)(3) PC	\$5,000
<b>School District of Menomonie Area</b> Administrative Service Center 215 Pine Avenue NE Menomonie, WI 54751 <i>Project Lead the Way program - Bridgeport Mill</i>	GOV	\$5,000
<b>Science Museum of Minnesota</b> 120 West Kellogg Boulevard St. Paul, MN 55102 <i>Kitty Andersen Youth Science Center</i>	501(c)(3) PC	\$60,000
<b>Yellowstone Park Foundation, Inc.</b> 222 E. Main Street, Suite 301 Bozeman, MT 59715 <i>Yellowstone Youth Campus</i>	501(c)(3) PC	\$100,000
<b>YMCA of Greater Twin Cities</b> 2125 E. Hennepin Ave. Minneapolis, MN 55413 <i>Changing Lives, Building Futures: Midway YMCA Capital Campaign</i>	501(c)(3) PC	\$25,000
<b>YMCA of Greater Twin Cities</b> 2125 E. Hennepin Ave. Minneapolis, MN 55413 <i>YMCA Camp and Branch programs for St. Croix Valley and east metro areas</i>	501(c)(3) PC	\$50,000
<b>YMCA of Greater Twin Cities</b> 2125 E. Hennepin Ave. Minneapolis, MN 55413 <i>Building Tomorrow's Leaders Capital Campaign (YMCA Camp St. Croix)</i>	501(c)(3) PC	\$30,000
<b>Youth Action Hudson, Inc.</b> 901 4th Street, Suite 207 Hudson, WI 54016 <i>general operating support</i>	501(c)(3) PC	\$7,000

<b>Recipient and/or Purpose</b>	<b>Tax Status</b>	<b>Amount Paid FYE 2015-16</b>
<b>Youth Service Bureau, Inc.</b> Historic Courthouse 101 West Pine Street Stillwater, MN 55082 <i>general operating support</i>	501(c)(3) PC	\$5,000
<b>Total ACF\Education &amp; Youth Development (36 items)</b>		<b>\$684,000</b>
<b><u>ACF\Health &amp; Safety</u></b>		
<b>American Diabetes Association, Inc.</b> Minnesota Area Braemar Office Park 8000 W. 78th Street, Suite 175 Edina, MN 55439-2540 <i>Camp Needlepoint/Daypoint</i>	501(c)(3) PC	\$3,500
<b>American Red Cross of Central New Jersey</b> 707 Alexander Road, Suite 101 Princeton, NJ 08540 <i>general operating support</i>	501(c)(3) PC	\$20,000
<b>American Red Cross Northwest Wisconsin Chapter</b> 3728 Spooner Avenue Altoona, WI 54720 <i>general operating support</i>	501(c)(3) PC	\$12,000
<b>Blue Ridge Hospice</b> 333 W. Cork Street, Suite 405 Winchester, VA 22601 <i>Patient Care Fund</i>	501(c)(3) PC	\$5,000
<b>Camp Albrecht Acres of the Midwest, Inc.</b> 14837 Sherrill Road PO Box 50 Sherrill, IA 52073 <i>general operating support</i>	501(c)(3) PC	\$10,000
<b>Canvas Health</b> 7066 Stillwater Boulevard N. Oakdale, MN 55128 <i>general operating support</i>	501(c)(3) PC	\$20,000
<b>Children's Dental Services</b> 636 Broadway Street NE Minneapolis, MN 55413 <i>general operating support-Washington County</i>	501(c)(3) PC	\$17,000
<b>Children's Hospitals and Clinics</b> 5901 Lincoln Drive Edina, MN 55436 <i>St. Paul NICU Upgrade</i>	501(c)(3) PC	\$50,000
<b>Chippewa Valley Free Clinic</b> 836 Richard Drive Eau Claire, WI 54701 <i>general operating support</i>	501(c)(3) PC	\$5,000

<b>Recipient and/or Purpose</b>	<b>Tax Status</b>	<b>Amount Paid FYE 2015-16</b>
<b>Courage Kenny Foundation</b> 3915 Golden Valley Road Golden Valley, MN 55422 <i>Courage Kenny St. Croix operating support</i>	501(c)(3) 509(a)(3) SO I	\$45,000
<b>Free Clinic of Pierce and St. Croix Counties, Inc.</b> 1687 East Division Street PO Box 745 River Falls, WI 54022 <i>general operating support</i>	501(c)(3) PC	\$5,000
<b>Iowa Dental Foundation</b> 8797 NW 54th Avenue, Suite 100 Johnston, IA 50131 <i>Iowa Mission of Mercy Free Dental Clinic</i>	501(c)(3) PC	\$5,000
<b>James Madison University Foundation</b> 1320 South Main Street MSC 8501 Harrisonburg, VA 22807 <i>Healthy Families Page County</i>	501(c)(3) PC	\$5,000
<b>Lakeview Foundation</b> 927 Churchill Street West Stillwater, MN 55082 <i>Parish Nursing (\$17k) Alice Anderson Scholarships (\$15k)</i>	501(c)(3) 509(a)(3) SO II	\$32,000
<b>Osceola Community Health Foundation, Inc.</b> 2600 65th Ave. Osceola, WI 54020 <i>Timely Equipment and Technology Upgrades</i>	501(c)(3) 509(a)(3) SO I	\$25,000
<b>Sight &amp; Hearing Association</b> 1246 University Avenue W, Suite 226 St. Paul, MN 55104-4125 <i>Preschool Screening program</i>	501(c)(3) PC	\$7,500
<b>Special Olympics Minnesota</b> 100 Washington Avenue South, Suite 550 Minneapolis, MN 55401 <i>St. Croix Valley Lumberjacks</i>	501(c)(3) PC	\$10,000
<b>True Friends</b> 10509 108th Street NW Annandale, MN 55302 <i>Financial Assistance/Washington County</i>	501(c)(3) PC	\$5,000
<b>United Hospital Foundation</b> 333 North Smith Avenue, Suite S-4 St. Paul, MN 55102 <i>The Mother Baby Center at United and Children's - St. Paul</i>	501(c)(3) 509(a)(3) SO I	\$50,000
<b>Total ACFHealth &amp; Safety (19 items)</b>		<b>\$332,000</b>
<b>ACF\Human Services</b>		
<b>180 Turning Lives Around, Inc.</b> 1 Bethany Road, Building 3, Suite 42 Hazlet, NJ 07730 <i>2nd Floor Youth Helpline Middlesex County</i>	501(c)(3) PC	\$7,000

<b>Recipient and/or Purpose</b>	<b>Tax Status</b>	<b>Amount Paid FYE 2015-16</b>
<b>American Red Cross North Texas Region</b> 4800 Harry Hines Blvd. Dallas, TX 75235 <i>Texas Storms and Tornado Fund</i>	501(c)(3) PC	\$25,000
<b>Amery Area Senior Citizens, Inc.</b> 608 Harriman Avenue South Amery, WI 54001 <i>Health and Wellness Programs</i>	501(c)(3) PC	\$3,000
<b>Anshe Emeth Community Development Corporation</b> 222 Livingston Avenue New Brunswick, NJ 08901 <i>general operating support</i>	501(c)(3) PC	\$20,000
<b>The Arc of Dunn County, Inc.</b> 2602 Hills Court Menomonie, WI 54751 <i>Community Outreach Programs</i>	501(c)(3) PC Group Exemption #1843	\$2,000
<b>The Arc of New Jersey</b> 985 Livingston Ave. North Brunswick, NJ 08902 <i>The New Jersey Self-Advocacy Project Health Literacy Initiative</i>	501(c)(3) PC	\$2,800
<b>Basic Needs Inc. of South Washington County</b> d/b/a Stone Soup Thrift Shop 950 3rd Street, Suite 101 St. Paul Park, MN 55071 <i>general operating support</i>	501(c)(3) PC	\$8,000
<b>Basic Needs Inc. of South Washington County</b> d/b/a Stone Soup Thrift Shop 950 3rd Street, Suite 101 St. Paul Park, MN 55071 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$2,000
<b>Bidwell Riverside Center</b> 1203 Hartford Ave. Des Moines, IA 50315 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$2,500
<b>Bolton Refuge House, Inc.</b> 807 S. Farwell Street PO Box 482 Eau Claire, WI 54702 <i>general operating support</i>	501(c)(3) PC	\$3,500
<b>Bread of Life Rescue Mission</b> PO Box 458 Oceanside, CA 92049 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$4,500
<b>The Bridge to Hope, Inc.</b> P.O. Box 700 Menomonie, WI 54751 <i>general operating support</i>	501(c)(3) PC	\$3,500

<b>Recipient and/or Purpose</b>	<b>Tax Status</b>	<b>Amount Paid FYE 2015-16</b>
<b>Children and Families of Iowa</b> 1111 University Avenue Des Moines, IA 50314 <i>Teens in Transition</i>	501(c)(3) PC	\$10,000
<b>Christian Food Cupboard of Hudson</b> St. Patrick's Catholic Church 1500 Vine Street Hudson, WI 54016 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$5,000
<b>Community Referral Agency, Inc.</b> PO Box 365 Milltown, WI 54858 <i>general operating support</i>	501(c)(3) PC	\$5,000
<b>Community Referral Agency, Inc.</b> PO Box 365 Milltown, WI 54858 <i>general operating support</i>	501(c)(3) PC	-\$5,000
<b>Community Referral Agency, Inc.</b> PO Box 365 Milltown, WI 54858 <i>general operating support</i>	501(c)(3) PC	\$5,000
<b>Community Thread</b> 2300 Orleans Street West Stillwater, MN 55082 <i>Stillwater Building Upgrades</i>	501(c)(3) PC	\$25,000
<b>Community Thread</b> 2300 Orleans Street West Stillwater, MN 55082 <i>general operating support and the Bayport Senior Center</i>	501(c)(3) PC	\$31,000
<b>CLUES</b> 797 East Seventh Street St. Paul, MN 55106 <i>general operating support</i>	501(c)(3) PC	\$12,000
<b>Concerned Citizens Against Violence Against Women, Inc.</b> 330 Barks Road West PO Box 875 Marion, OH 43301-0875 <i>general operating support</i>	501(c)(3) PC	\$10,000
<b>Council on Domestic Violence for Page County, Inc.</b> 216 West Main Street Luray, VA 22835 <i>general operating support</i>	501(c)(3) PC	\$8,000
<b>Dubuque Community Y</b> 35 North Booth Street Dubuque, IA 52001 <i>Victim Services Shelter</i>	501(c)(3) PC	\$5,000

<b>Recipient and/or Purpose</b>	<b>Tax Status</b>	<b>Amount Paid FYE 2015-16</b>
<b>Dubuque Food Pantry</b> 1598 Jackson Street Dubuque, IA 52004 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$5,000
<b>DuRide</b> 2728 Ashbury Road Dubuque, IA 52001 <i>general operating support</i>	501(c)(3) PC	\$7,000
<b>ESR</b> 1754 Washington Avenue Stillwater, MN 55082 <i>Senior Chore Service Program</i>	501(c)(3) PC	\$7,500
<b>Elijah's Promise</b> 211 Livingston Avenue New Brunswick, NJ 08901 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$6,000
<b>Family Resource Center St. Croix Valley</b> 857 Main Street P. O. Box 2087 Baldwin, WI 54002 <i>general operating support</i>	501(c)(3) PC	\$4,000
<b>FamilyMeans</b> 1875 Northwestern Avenue South Stillwater, MN 55082 <i>Capital for Cimarron Youth Center</i>	501(c)(3) PC	\$33,000
<b>FamilyMeans</b> 1875 Northwestern Avenue South Stillwater, MN 55082 <i>general operating support</i>	501(c)(3) PC	\$45,000
<b>Food Bank of Iowa</b> 2220 E. 17th Street Des Moines, IA 50316 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$2,500
<b>Friends in Need Food Shelf</b> PO Box 6 Cottage Grove, MN 55016 <i>general operating support</i>	501(c)(3) PC	\$5,000
<b>Habitat for Humanity International</b> 270 Peachtree Street, Suite 1300 Atlanta, GA 30303 <i>Disaster Support for Haiti</i>	501(c)(3) PC	\$25,000
<b>Hope Gospel Mission Inc.</b> 8 South Farwell Street Eau Claire, WI 54702 <i>general operating support</i>	501(c)(3) PC	\$3,500
<b>Hope Gospel Mission Inc.</b> 8 South Farwell Street Eau Claire, WI 54702 <i>unsolicited basic needs</i>	501(c)(3) PC	\$5,000



<b>Recipient and/or Purpose</b>	<b>Tax Status</b>	<b>Amount Paid FYE 2015-16</b>
<b>Hope Ministries</b> PO Box 862 Des Moines, IA 50304 <i>Meals for Hope</i>	501(c)(3) PC	\$10,000
<b>Interfaith Caregivers of Polk County, Inc.</b> PO Box 65 Milltown, WI 54858 <i>general operating support</i>	501(c)(3) PC	\$5,000
<b>International Rescue Committee</b> 263 West 38th Street, 6th Floor New York, NY 10018 <i>Refugee Youth in NJ Program</i>	501(c)(3) PC	\$20,000
<b>Lansing Community Food Pantry, Inc.</b> 2990 Ridge Road Lansing, IL 60438 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$4,500
<b>Love INC of Marion County Ohio</b> PO Box 996 Marion, OH 43302 <i>general operating support</i>	501(c)(3) PC	\$5,000
<b>Marion Shelter Program Inc.</b> 326 West Fairground Street Marion, OH 43302 <i>general operating support</i>	501(c)(3) PC	\$10,000
<b>Merrick, Inc.</b> 3210 Labore Road Vadnais Heights, MN 55110 <i>Memory Care Program</i>	501(c)(3) PC	\$10,000
<b>Metro Family Ministries, Inc.</b> PO Box 462431 Garland, TX 75046 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$2,250
<b>Neighborhood House</b> 179 Robie Street East St. Paul, MN 55107-2360 <i>general operating support</i>	501(c)(3) PC	\$15,000
<b>Neighborhood House</b> 179 Robie Street East St. Paul, MN 55107-2360 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$5,000
<b>New Brunswick Tomorrow</b> 390 George Street, 2nd Floor New Brunswick, NJ 08901 <i>Parent/Infant Care Center (PIC-C)</i>	501(c)(3) PC	\$10,000
<b>Opening Doors</b> 1561 Jackson Street Dubuque, IA 52001 <i>general operating support</i>	501(c)(3) PC  Group Exemption	\$10,000

<b>Recipient and/or Purpose</b>	<b>Tax Status</b>	<b>Amount Paid FYE 2015-16</b>
<b>Operation H.E.L.P. Inc.</b> PO Box 1134 Hudson, WI 54016 <i>general operating support</i>	501(c)(3) PC	\$8,000
<b>Operation H.E.L.P. Inc.</b> PO Box 1134 Hudson, WI 54016 <i>unsolicited basic needs support for emergency funds</i>	501(c)(3) PC	\$5,000
<b>Page One of Page County, Inc.</b> 42 West Main Street Luray, VA 22835 <i>general operating support</i>	501(c)(3) PC	\$17,000
<b>Page One of Page County, Inc.</b> 42 West Main Street Luray, VA 22835 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$5,000
<b>The Pantry</b> 5357 Chapel Hill Road Douglasville, GA 30135 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$4,500
<b>Presbyterian Homes Foundation</b> 2845 Hamline Avenue North Roseville, MN 55113 <i>charity meal support</i>	501(c)(3) PC	\$7,500
<b>River Falls Community Food Pantry</b> 222 North Main Street, Suite C PO Box 341 River Falls, WI 54022 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$5,000
<b>St. Croix Valley SART</b> 1343 North Main Street River Falls, WI 54022 <i>general operating support</i>	501(c)(3) PC	\$5,000
<b>St. Paul's Episcopal Church</b> 197 East Center Street Marion, OH 43302 <i>St. Paul's Community Food Pantry</i>	NC	\$5,000
<b>St. Paul's Episcopal Church</b> 197 East Center Street Marion, OH 43302 <i>unsolicited basic needs support</i>	NC	\$4,000
<b>The Salvation Army USA Southern Territory</b> DFW Metroplex Command PO Box 36006 Dallas, TX 75235 <i>unsolicited basic needs for Garland, TX food shelf</i>	501(c)(3) PC	\$2,250

<b>Recipient and/or Purpose</b>	<b>Tax Status</b>	<b>Amount Paid FYE 2015-16</b>
<b>Second Harvest Heartland</b> 1140 Gervais Avenue St. Paul, MN 55109 <i>general operating support</i>	501(c)(3) PC	\$10,000
<b>Second Harvest Heartland</b> 1140 Gervais Avenue St. Paul, MN 55109 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$10,000
<b>Shenandoah Area Agency on Aging, Inc.</b> 207 Mosby Lane Front Royal, VA 22630 <i>general operating support</i>	501(c)(3) PC	\$14,000
<b>Shen-Paco Industries, Inc.</b> 34 Stoney Brook Lane Luray, VA 22835 <i>general operating support</i>	501(c)(3) PC	\$8,000
<b>Somerset Community Food Pantry</b> 203 Church Hill Rd. Somerset, WI 54025 <i>unsolicited basic needs support</i>	501(c)(3) PC	\$5,000
<b>Stepping Stones of Dunn County</b> 1602 Stout Road Menomonie, WI 54751 <i>general operating support</i>	501(c)(3) PC	\$10,000
<b>Store To Door</b> 1935 West County Road B2, Suite 250 Roseville, MN 55113 <i>general operating support-Washington Co.</i>	501(c)(3) PC	\$7,000
<b>Tubman</b> 1725 Monastery Way Maplewood, MN 55109 <i>general operating support</i>	501(c)(3) PC	\$7,000
<b>Turningpoint for Victims of Domestic and Sexual Violence, Inc.</b> P.O. Box 304 River Falls, WI 54022 <i>general operating support</i>	501(c)(3) PC	\$7,000
<b>Valley Outreach</b> 1911 Curve Crest Boulevard West Stillwater, MN 55082 <i>general operating support</i>	501(c)(3) PC	\$15,000
<b>Valley Outreach</b> 1911 Curve Crest Boulevard West Stillwater, MN 55082 <i>unsolicited food shelf support</i>	501(c)(3) PC	\$5,000
<b>WesleyLife</b> 5508 NW 88th Street Johnston, IA 50131 <i>Meals on Wheels</i>	501(c)(3) 509(a)(3) SO III	\$5,000

<b>Recipient and/or Purpose</b>	<b>Tax Status</b>	<b>Amount Paid FYE 2015-16</b>
<b>Amherst H. Wilder Foundation</b> 451 Lexington Parkway North St. Paul, MN 55104 <i>Adult Day Health Services</i>	501(c)(3) PC	\$20,000
<b>Total ACF\Human Services (71 items)</b>		<b>\$641,300</b>
<b>Grand Totals (146 items)</b>		<b>\$2,101,300</b>

Andersen Corporate Foundation  
 FEIN: 41-6020912  
 FYE: November 30, 2016

**Form 990, Part XV, Line 3b - Grants and Contributions Approved for Future Payment**

<b>Organization and/or Purpose</b>	<b>Tax Status</b>	<b>Grant Amount</b>
<b>Children's Hospitals and Clinics</b> 345 North Smith Avenue St. Paul, MN 55102 <i>St. Paul NICU Upgrade</i>	PC	\$50,000
<b>Osceola Community Health Foundation</b> 2600 65th Avenue PO Box 217 Osceola, WI 54020 <i>Technology Upgrades for Osceola Medical Center</i>	PC	\$25,000
<b>Yellowstone Park Foundation, Inc.</b> 222 East Main Street, Suite 301 Bozeman, MT 59715 <i>Yellowstone Youth Campus</i>	PC	\$400,000
<b>YMCA of Greater Twin Cities</b> 2125 East Hennepin Avenue Minneapolis, MN 55413 <i>Building Tomorrow's Leaders/Camp St. Croix, Phase II</i>	PC	\$70,000
<b>Grand Total (4 items)</b>		\$545,000

[Sustainability](#)[Community Involvement](#)[Safety](#)

## Andersen Corporate Foundation

The Andersen Corporate Foundation was established in 1941 with the mission “to improve lives and strengthen communities, primarily where Andersen employees live and work.” Since then, the foundation has donated more than \$60 million to worthy causes.



### Focus areas include:

#### Affordable Housing

Andersen Corporate Foundation supports organizations that provide affordable housing to people living on low incomes including:

- Single family, owner-occupied housing for individuals and families
- Senior housing projects that have a 25% occupancy rate for seniors receiving medical assistance
- Housing stability including services to support, transition to, or maintain affordable housing

#### Health and Safety

Andersen Corporate Foundation supports organizations that provide services that seek to improve health and safety including:

- Healthcare for people living on low incomes
- Mental health therapy services

- Physical therapy services for people with disabilities
- Wellness and safety programs for seniors
- Facilities and equipment for organizations providing healthcare

### **Education and Youth Development**

Andersen Corporation Foundation supports organizations that offer intellectual and social opportunities including:

- Limited and strategic support to public school districts for science, technology, engineering and math (STEM) education, grades K-12
- Youth development programs that focus on development of leadership skills and community engagement
- Environmental youth education programs that align with Andersen's sustainability initiatives

### **Human Services**

Andersen Corporate Foundation supports organizations providing basic needs for people living on low incomes including:

- Food, clothing, and emergency assistance provided by food shelf organizations
- Community-based employment for people with intellectual and developmental disabilities
- Services that support seniors ability to live safe sand independently in their homes.

### **Civic Support**

Andersen Corporate Foundation supports select organizations that directly benefit the community.

## Headquarters geographic focus area:

Washington County, Minnesota; Polk and St. Croix Counties, Wisconsin. Limited support is provided to organizations serving Ramsey County, Minnesota.

## Application process:

Applications are accepted by invitation only. Complete the Inquiry Form and email to [AndersenCorpFDN@srinc.biz](mailto:AndersenCorpFDN@srinc.biz) [mailto:AndersenCorpFDN@srinc.biz]. If your inquiry fits within the foundation's funding priorities, you will receive an invitation to submit an application.

Andersen Related Philanthropy Brochure [/wp-content/uploads/2012/10/Andersen-Related-Foundation-Brochure.pdf]

A Legacy of Giving; Andersen Related Foundations

## IRS 990 PF Forms

[IRS 990 Form for Fiscal Year Ending 11/30/2014 \[http://www.andersencorporation.com/wp-content/uploads/2016/10/2014-ACF.pdf\]](http://www.andersencorporation.com/wp-content/uploads/2016/10/2014-ACF.pdf)

[IRS 990 Form for Fiscal Year Ending 11/30/2013 \[http://www.andersencorporation.com/wp-content/uploads/2016/10/2013-14-ACF990.pdf\]](http://www.andersencorporation.com/wp-content/uploads/2016/10/2013-14-ACF990.pdf)

[IRS 990 Form for Fiscal Year Ending 11/30/2012 \[/wp-content/uploads/2012/10/990-PF-2012.pdf\]](http://www.andersencorporation.com/wp-content/uploads/2012/10/990-PF-2012.pdf)

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## COMMUNITY INVOLVEMENT

[[HTTP://WWW.ANDERSENCORPORATION.COM/CORPORATE-RESPONSIBILITY/COMMUNITY-INVOLVEMENT/](http://www.andersencorporation.com/corporate-responsibility/community-involvement/)]

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**Andersen Corporate Foundation** [<http://www.andersencorporation.com/corporate-responsibility/community-involvement/andersen-corporate-foundation/>]

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**Andersen Corporate Giving** [<http://www.andersencorporation.com/corporate-responsibility/community-involvement/andersen-corporate-giving/>]

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#### Contact Information

Chloette Haley, Program Officer

651-275-4450

[AndersenCorpFDN@srinc.biz](mailto:AndersenCorpFDN@srinc.biz) [<mailto:AndersenCorpFDN@srinc.biz>]

#### Forms

[Inquiry Form](http://www.andersencorporation.com/wp-content/uploads/2012/10/Inquiry-Form-ACF-2017.docx) [<http://www.andersencorporation.com/wp-content/uploads/2012/10/Inquiry-Form-ACF-2017.docx>]

[Application](/wp-content/uploads/2012/10/ACF-Application-Form-current.doc) [</wp-content/uploads/2012/10/ACF-Application-Form-current.doc>] (Word)

[Application](/wp-content/uploads/2012/10/ACF-Application-Form-current.pdf) [</wp-content/uploads/2012/10/ACF-Application-Form-current.pdf>] (PDF)

#### Foundation Deadlines

February 15

June 15

November 15

[#]

#### Board of Directors

Keith Olson, President

Susan Roeder, Vice President

Phil Donaldson, Treasurer

Jay Lund, Director

Jerry Redmond, Director

Karen Richard, Director

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# Application Form

Date \_\_\_\_\_

ORGANIZATION NAME (legal) \_\_\_\_\_

a/k/a \_\_\_\_\_

Address \_\_\_\_\_

City, State, Zip \_\_\_\_\_

Employer Identification Number (EIN) \_\_\_\_\_

Phone \_\_\_\_\_

Fax \_\_\_\_\_

Web site \_\_\_\_\_

Name of top paid staff \_\_\_\_\_

Title \_\_\_\_\_

Phone \_\_\_\_\_

E-mail \_\_\_\_\_

Name of contact person regarding  
this application \_\_\_\_\_

Title \_\_\_\_\_

Phone \_\_\_\_\_

E-mail \_\_\_\_\_

## BRIEF ORGANIZATION DESCRIPTION:

If your nonprofit has completed an Accountability Wizard review through the Charities Review Council (www.smartgivers.org), please list the date of your final report: \_\_\_\_\_.

## TYPE OF SUPPORT:

General Operating Support     Project/Program\*     Capital     Other \_\_\_\_\_

\*PROJECT NAME (for Project/Program requests only): \_\_\_\_\_

## FINANCIAL INFORMATION:

Amount Requested: \_\_\_\_\_

Total Organizational Budget: \_\_\_\_\_

Project/Program Budget: \_\_\_\_\_

Fiscal Year or Project/Program Duration: \_\_\_\_\_

Start Date: \_\_\_\_\_

End Date: \_\_\_\_\_

## BRIEF SUMMARY OF REQUEST:

### PRIMARY FOUNDATION PROGRAM FOCUS AREA:

Affordable Housing     Health & Safety     Educ. & Youth Dev.     Human Services     Civic

### GEOGRAPHIC AREA SERVED BY REQUEST:

Headquarters (Washington County, East Metro Area, or Western Wisconsin) List County \_\_\_\_\_

Dunn County, WI     Des Moines, IA     Dubuque, IA     Luray, VA     North Brunswick, NJ     Marion, OH

### DESCRIPTION OF THE POPULATION SERVED BY REQUEST:

Name and Title of top paid staff and/or Board Chair: \_\_\_\_\_

Signature: \_\_\_\_\_



# Application Checklist

## SUBMIT ONE UNBOUND COPY OF THE FOLLOWING IN THIS ORDER:

- \_\_\_\_\_ The application form. The application form and Foundation guidelines are available at our website <http://corporate.andersenwindows.com/corporate-responsibility/community-involvement/andersen-corporate-foundation/>
- \_\_\_\_\_ This checklist.
- \_\_\_\_\_ A cover letter signed by the top administrator of your organization including a specific monetary request amount.

## ORGANIZATIONAL INFORMATION

- \_\_\_\_\_ Brief history of your organization and a description of its mission.
- \_\_\_\_\_ Current programs, activities, service statistics, strengths and accomplishments.
- \_\_\_\_\_ Your organization's relationship with similar organizations.
- \_\_\_\_\_ Number of board members, full-time paid staff, part-time paid staff, and volunteers.

## PURPOSE OF GRANT

- \_\_\_\_\_ Situation, specific activities including geographic area served, timeframe and impact of activities.
- \_\_\_\_\_ Long term funding strategies.

## ATTACHMENTS

### Financial

- \_\_\_\_\_ Organizational budget including revenue sources and expenses.
- \_\_\_\_\_ Project budget including revenue sources and expenses (not required for general operating requests).
- \_\_\_\_\_ Recent year-to-date financial statements (balance sheet or statement of activities and functional expenses).
- \_\_\_\_\_ Complete copy of your most recent Internal Revenue Service Form 990 **or** audit.
- \_\_\_\_\_ Corporations and foundations solicited for funding including the amount(s) requested from each.

### Other Supporting Materials

- \_\_\_\_\_ If you received past support, please indicate how those funds were utilized (including service statistics).
- \_\_\_\_\_ List of the Board of Directors and their affiliations.
- \_\_\_\_\_ If your organization is designated 509(a)(3), legal documentation of the "Type" listed on your IRS 990 form, question #13 in Part IV, "Reason for Non-Private Foundation Status".
- \_\_\_\_\_ Description of any future plans your organization has for a capital or endowment campaign.
- \_\_\_\_\_ A list (or number) of Andersen Corporation employees involved in your project or organization.

## IF THIS REQUEST IS FOR CAPITAL SUPPORT

- \_\_\_\_\_ Include projected operating budgets itemizing projected increases and list revenues that will generate the additional income.
- \_\_\_\_\_ Include a detailed fundraising plan.
- \_\_\_\_\_ Specify if your capital budget is an estimate or based on firm bids.
- \_\_\_\_\_ Indicate if land or a building has been purchased and by what entity, if construction has begun or when it is intended to begin, and if there are any zoning or environmental issues that need to be addressed.
- \_\_\_\_\_ Include a timeline illustrating the intended progression of the total project.



# Application Submission Information

## SEND YOUR COMPLETED PROPOSAL TO:

**HEADQUARTERS:** Primary geographic priorities - Washington County, Minnesota and portions of western Wisconsin including St. Croix, Polk, Pierce, and Barron counties in western Wisconsin. Secondary geographic priorities include east metro area of the Twin Cities.

Chloette Haley, Program Officer, Andersen Corporate Foundation, 342 Fifth Avenue North, Suite 200, Bayport, MN 55003 / 651-275-4450

## **HEADQUARTERS DEADLINES AND FOUNDATION MEETING DATES**

- **Deadlines** for submission of headquarter proposals are October 15, February 15 and June 15. When deadlines fall on a weekend or holiday, the deadline will be the following working day.
- **Proposals must be received** in the Foundation's office (**not postmarked**) on or before the deadline date. Hand delivered applications must be received in the Foundation's office by 5:00 p.m. on the day of the deadline. No faxed or emailed applications (except by invitation only) please.
- **Board meeting dates** for request consideration: March, July and November respectively.

## **NON-HEADQUARTERS ANDERSEN ENTERPRISE LOCATIONS**

For questions regarding eligibility for Non-Headquarter requests, please contact your local Andersen Enterprise as indicated below:

### **Andersen Menomonie Plant, *Dunn County, WI***

Cassie Hanson, Andersen Plant, 201 Lookout Road, Menomonie, WI 54751 / 651-264-2598

### **Andersen Corporation, Storm Door Division, *Des Moines, IA***

Kerri Peterson, Andersen Corporation, Storm Door Division, 2121 East Walnut Street, Des Moines, IA 50317 / 515-264-4288

### **Andersen Corporation, Dubuque Plant, *Dubuque, IA***

Ellen Neese, Andersen Corporation, Dubuque Plant, 2045 Kerper Boulevard Dubuque, IA 52001 / 563-556-2270 ext. 233

### **Andersen Corporation, Storm Door Division, *Luray, VA***

Terry Martin, Andersen Corporation, Storm Door Division, 31 Stony Brook Lane, Luray, VA 22835 / 540-843-7935 ext. 0

### **Silver Line by Andersen, *North Brunswick, NJ and Marion, OH***

Carolyn Anderson, Silver Line by Andersen, 1 Silver Line Drive, North Brunswick, NJ 08902-3397 / 888-741-0354 ext. 4180

## **NON-HEADQUARTERS DEADLINES AND FOUNDATION MEETING DATES**

- **Deadlines** for submission of non-headquarter proposals are October 15 and February 15. When deadlines fall on a weekend or holiday, the deadline will be the following working day.
- **Proposals must be received** in the Andersen Enterprise office (**not postmarked**) on or before the deadline date. Hand delivered applications must be received in the Andersen Enterprises' office by 5:00 p.m. on the day of the deadline. No faxed or emailed applications (except by invitation only) please.
- **Board meeting dates** for request consideration: March and July respectively.

## **FOR QUESTIONS REGARDING APPLICATION PROCESS CONTACT:**

**Chloette Haley, Program Officer**

651-275-4450

andersencorpdfn@srinc.biz

# Return by a U.S. Transferor of Property to a Foreign Corporation

▶ Information about Form 926 and its separate instructions is at [www.irs.gov/form926](http://www.irs.gov/form926).  
▶ Attach to your income tax return for the year of the transfer or distribution.

## Part I U.S. Transferor Information (see instructions)

Name of transferor <u>ANDERSEN CORPORATE FOUNDATION</u>	Identifying number (see instructions) <u>41-6020912</u>
--	--

- 1** If the transferor was a corporation, complete questions 1a through 1d.
- a** If the transfer was a section 361(a) or (b) transfer, was the transferor controlled (under section 368(c)) by 5 or fewer domestic corporations?  Yes  No
- b** Did the transferor remain in existence after the transfer?  Yes  No
- If not, list the controlling shareholder(s) and their identifying number(s):

Controlling shareholder	Identifying number

- c** If the transferor was a member of an affiliated group filing a consolidated return, was it the parent corporation?  Yes  No
- If not, list the name and employer identification number (EIN) of the parent corporation:

Name of parent corporation	EIN of parent corporation

- d** Have basis adjustments under section 367(a)(5) been made?  Yes  No

- 2** If the transferor was a partner in a partnership that was the actual transferor (but is not treated as such under section 367), complete questions 2a through 2d.

**a** List the name and EIN of the transferor's partnership:

Name of partnership	EIN of partnership

- b** Did the partner pick up its pro rata share of gain on the transfer of partnership assets?  Yes  No
- c** Is the partner disposing of its **entire** interest in the partnership?  Yes  No
- d** Is the partner disposing of an interest in a limited partnership that is regularly traded on an established securities market?  Yes  No

## Part II Transferee Foreign Corporation Information (see instructions)

3 Name of transferee (foreign corporation) <u>GMO MULTI-STRATEGY FUND (OFFSHORE) L.P.</u>	4a Identifying number, if any
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5 Address (including country) <u>40 ROWES WHARF, BOSTON, MA 02110</u>	4b Reference ID number (see instructions) <u>GMOMUL001</u>
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**6** Country code of country of incorporation or organization (see instructions)  
BD

**7** Foreign law characterization (see instructions)  
EXEMPTED LIMITED PARTNERSHIP

**8** Is the transferee foreign corporation a controlled foreign corporation?  Yes  No

**Part III** Information Regarding Transfer of Property (see instructions)

Type of property	(a) Date of transfer	(b) Description of property	(c) Fair market value on date of transfer	(d) Cost or other basis	(e) Gain recognized on transfer
Cash	04/29/2016		105,239		
Stock and securities					
Installment obligations, account receivables or similar property					
Foreign currency or other property denominated in foreign currency					
Inventory					
Assets subject to depreciation recapture (see Temp. Regs. sec. 1.367(a)-4T(b))					
Tangible property used in trade or business not listed under another category					
Intangible property					
Property to be leased (as described in final and temp. Regs. sec. 1.367(a)-4(c))					
Property to be sold (as described in Temp. Regs. sec. 1.367(a)-4T(d))					
Transfers of oil and gas working interests (as described in Temp. Regs. sec. 1.367(a)-4T(e))					
Other property					

**Supplemental Information Required To Be Reported** (see instructions):

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**Part IV** Additional Information Regarding Transfer of Property (see instructions)

**9** Enter the transferor's interest in the foreign transferee corporation before and after the transfer:

(a) Before .12 % (b) After 0.12 %

**10** Type of nonrecognition transaction (see instructions) ▶ IRC SECTION 351

**11** Indicate whether any transfer reported in Part III is subject to any of the following:

- a Gain recognition under section 904(f)(3)  Yes  No
- b Gain recognition under section 904(f)(5)(F)  Yes  No
- c Recapture under section 1503(d)  Yes  No
- d Exchange gain under section 987  Yes  No

**12** Did this transfer result from a change in the classification of the transferee to that of a foreign corporation?  Yes  No

**13** Indicate whether the transferor was required to recognize income under final and temporary Regulations sections 1.367(a)-4 through 1.367(a)-6 for any of the following:

- a Tainted property  Yes  No
- b Depreciation recapture  Yes  No
- c Branch loss recapture  Yes  No
- d Any other income recognition provision contained in the above-referenced regulations  Yes  No

**14** Did the transferor transfer assets which qualify for the trade or business exception under section 367(a)(3)?  Yes  No

**15a** Did the transferor transfer foreign goodwill or going concern value as defined in Temporary Regulations section 1.367(a)-1T(d)(5)(iii)?  Yes  No

b If the answer to line 15a is "Yes," enter the amount of foreign goodwill or going concern value transferred ▶ \$ \_\_\_\_\_

**16** Was cash the only property transferred?  Yes  No

**17a** Was intangible property (within the meaning of section 936(h)(3)(B)) transferred as a result of the transaction?  Yes  No

b If "Yes," describe the nature of the rights to the intangible property that was transferred as a result of the transaction:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



**ATTACHMENT TO 11/30/2016 FORM 926**  
**INFORMATION REQUIRED PURSUANT TO TREAS. REGULATION §1.6038B-1(C)**

1. Transferor:

Name: Andersen Corporate Foundation  
FEIN: 41-6020912  
Address: 342 Fifth Avenue North, Suite 200  
Bayport, MN 55003

2. Transferee:

(i) Name: GMO Multi-Strategy Fund (Offshore) L.P.  
FEIN:  
Address: 40 Rowes Wharf  
Boston, MA 02110

Country of incorporation: Bermuda

(ii) A general description of the transfer: A transfer of \$105,239 of cash in a Section 351 transaction

3. Consideration Received:

Ordinary shares

4. Property Transferred, including the estimated fair market value ("FMV") and adjusted basis ("AB") of the property:

(i)	<u>Active Business Property:</u>	Cash; FMV and AB of \$105,239
(ii)	<u>Stock or Securities:</u>	Not Applicable
(iii)	<u>Depreciated Property:</u>	Not Applicable
(iv)	<u>Property to be Leased:</u>	Not Applicable
(v)	<u>Property to be Sold:</u>	Not Applicable
(vi)	<u>Transfers to FSC's:</u>	Not Applicable
(vii)	<u>Tainted Property:</u>	Not Applicable
(viii)	<u>Foreign Loss Branch:</u>	Not Applicable
(ix)	<u>Other Intangibles:</u>	Not Applicable

5. Transfer of Foreign Branch with previously deducted losses:

(i)	<u>Branch operation:</u>	Not Applicable
(ii)	<u>Branch Property:</u>	Not Applicable
(iii)	<u>Previously Deducted Losses:</u>	Not Applicable
(iv)	<u>Character of Gain:</u>	Not Applicable

6. Application of Section 367(a)(5): Not Applicable